

HONG LEONG ISLAMIC ASSET MANAGEMENT SDN BHD WHISTLEBLOWING POLICY

Hong Leong Islamic Asset Management Sdn Bhd (HLISAM) is committed to good business ethics and integrity as set out in the Code of Conduct and Ethics. All employees are encouraged to raise concerns about improper conduct at the earliest opportunity, and in an appropriate way.

Who can raise concerns?

- Any employee or director of HLISAM
- Any (legal or natural) person, including those providing services to, or having a business relationship with HLISAM.

What types of concerns should you raise?

You should raise any genuine concerns about any improper conduct in relation to HLISAM and/or that may adversely impact HLISAM, including but not limited to:

- Any criminal offences, including fraud, corruption, bribery and blackmail;
- Any failure to comply with legal or regulatory obligations;
- Any gross mismanagement of company affairs
- Any misappropriation or misuse of funds or assets, theft or embezzlement;
- Any financial irregularity or impropriety; or
- Any breach of HLISAM's Code of Conduct & Ethics or Improper Conduct which would be a disciplinary offence.

Any concerns about malpractice should be raised. If your concern is about your personal position, rather than a concern about malpractice, it will be more appropriate for you to use the HR grievance procedures.

Who should you raise your concerns with?

Reports of any such concerns may be made to the Independent Director of Hong Leong Asset Management Bhd's Board of Directors.

Independent Director of Hong Leong Asset Management Bhd's Board of Directors
Hong Leong Asset Management Bhd
Level 18, Block B, Plaza Zurich
No. 12, Jalan Gelenggang
Bukit Damansara
50490 Kuala Lumpur
Email: IndDirector@hlisam.hongleong.com.my

Please include your full name and contact details, as well as full details of your concern and any supporting documentation you consider relevant. Should you wish to do so, you may use our [Whistleblower Form](#) to provide the details required.

HLISAM reserves the right not to investigate any concerns which are raised anonymously.

Additionally, you also have the right to raise your concerns with relevant regulators, such as Bank Negara Malaysia, Securities Commission, Bursa Malaysia Securities Berhad (or any of their related companies) or with law enforcement agencies.

What action can be taken against you?

You will be protected from retaliation, adverse employment action and from disclosure of your identity, provided your disclosure was made in good faith (even if you are genuinely mistaken in the concerns you raise) and to the extent permitted by law.

WHISTLEBLOWER FORM

You should raise any genuine concerns about any improper conduct or wrongful act (“Improper Conduct”) in relation to Hong Leong Islamic Asset Management Sdn Bhd (“HLISAM”) and/or any person associated with HLISAM through IndDirector@hlisam.hongleong.com.my. Concerns on your personal position or your employment, should be raised through HR grievance procedures, and not through this Whistleblower Form.

YOUR FULL NAME		
NAME OF YOUR EMPLOYER		
YOUR STAFF ID <i>(if an employee of HLISAM)</i>		
YOUR DEPARTMENT		
YOUR POSITION / DESIGNATION		
YOUR CONTACT DETAILS	Address:	Telephone:
		Email:

DETAILS OF YOUR CONCERNS
DESCRIPTION OF IMPROPER CONDUCT: <i>(use the additional information sheet, if necessary)</i>
WHERE DID THE IMPROPER CONDUCT OCCUR?
WHEN DID THE IMPROPER CONDUCT OCCUR?
NAME AND POSITION OF PERSON(S) INVOLVED:
DETAILS OF ANY WITNESS(ES):
DID YOU REPORT THE IMPROPER CONDUCT TO ANY AUTHORITIES? IF YES, PLEASE GIVE DETAILS:
SUPPORTING DOCUMENT(S) ATTACHED (Please tick)? Yes <input type="checkbox"/> No <input type="checkbox"/>

ADDITIONAL INFORMATION SHEET

ANY ADDITIONAL INFORMATION:

Provide any further details you think may be relevant, for example, whether you approached the person(s) concerned, any financial impact to the company, etc.